

FORM PTO-1449	Atty. Docket No.: S13.12-0136	Appl. No.:
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	First Named Inventor:	
	Jan Weber et al.	
	Filing Date	Group Art:
	HEREWITH	

## U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
MT	AA	4,706,670	11/17/87	Andersen et al.	128	344
	AB	5,728,079	3/17/98	Weber et al.	604	280
	AC	5,951,494	9/14/99	Wang et al.	600	585
	AD	6,042,588	3/28/00	Munsinger et al.	606	108
	AE	6,093,157	7/25/00	Chandrasekaran	600	585
	AF	6,251,086	6/26/01	Cornelius et al.	600	585
	AG	6,475,168	11/5/02	Pugsley, Jr. et al.	600	585
	AH	2002/0188345	12/12/02	Pacetti	623	1.15

## FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Class	Sub Class	Translation Yes No
MT	AI	EP 0 240 504 B1	14-11-90	EPO		ABSTRACT

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

MT	AJ	Dieter Stockel, "Nitinol-Material with Unusual Properties", NDC, A Johnson & Johnson Company,
	AK	N.W. Cheung et al., "Plasma Immersion Ion Implantation for Electronic Materials Applications", Extended Abstracts of the 1995 International Conference on Solid State Devices and Materials, Osaka, 1995, pp. 351-353.
	AL	Shana Behnke, "An Examination of Nitinol Properties in Correlation with Their Use in Vascular Grafts", Materials Science and Engineering, University of Wisconsin, April 27, 2001.
	AM	Mantese et al., "Plasma-Immersion Ion implantation", MRS Bulletin, August 1996. pp. 52-56.
	AN	Zeng et al., "Influence of Sample Placement on the Dose Uniformity in Plasma Immersion Ion Implantation of Industrial Ball Bearings", IEEE Transactions on Plasma Science, Vol. 27, No. 4, August 1999, pp. 1203-1209.
	AO	En et al., "Plasma Immersion Ion Implantation Reactor Design Considerations for Oxide Charging", Surface and Coatings Technology 85, 1996, pp. 64-69.

EXAMINER: /Melanie Tyson/

DATE CONSIDERED: 09/19/2006

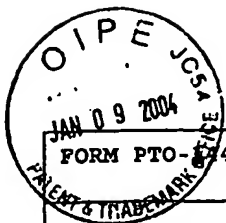
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MT	AP	Steam Turbine Technology Brochure, "Plasma Immersion Ion Processing", pp. 1-3, <a href="http://www.swri.edu/3pubs/brochure/d18/plasma/plasma.htm">http://www.swri.edu/3pubs/brochure/d18/plasma/plasma.htm</a> .
	AQ	Terashima et al., "High Resolution Real-Time and Color-Flow MRI of Nitinol Stents", Proc. Intl. Soc. Mag. Reson.Med. 10, 2002.
	AR	Xiao-Yan Gong et al., "ABACUS Analysis on Nitinol Medical Applications", 2002 ABACUS Users' Conference, pp. 1-10.
	AS	"Plasma Immersion ion Implantation for the Treatment of Metal Surfaces", Session RFI-Plasma Surface Interactions, FOCUS Session, Oct. 8, <a href="http://eee.eps.org/aps/meet/GEC99/baps/abs/S62005.html">http://eee.eps.org/aps/meet/GEC99/baps/abs/S62005.html</a> .
↓	AT	Slide Show, "An Examination of Nitinol Properties in Correlation with Their Usage in Vascular Grafts", Shana Behnke, Materials Science and Engineering.
	Au	
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Sheet 1 of 1

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Atty. Docket No.: S13.12-0136	Appl. No.: 10/670,433
	First Named Inventor:	
	Weber et al.	
	Filing Date September 24, 2003	Group Art:

## U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
MT	AA	10/359,970	Weber et al.			2/6/03
	AB					
	AC					
	AD					
	AE					
	AF					

## FOREIGN PATENT DOCUMENTS

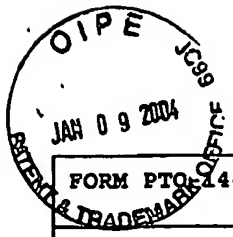
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MT	AG	WO 93/13825	22/07/93	WIPO			
	AH	WO 96/26689	06/09/96	WIPO			
	AI	WO 00/12147	09/03/00	WIPO			
	AJ	WO 01/08600 A2	08/02/01	WIPO			
	AK	WO 02/30331 A1	18/04/02	WIPO			
	AL						

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AM	
	AN	
	AO	

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	Weber et al.	
	Filing Date September 24, 2003	Group Art:

U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
MT	AA	4,572,198	2/25/86	Codrington	128	653
	AB	5,035,706	7/30/91	Giantureo et al.	606	198
	AC	5,135,536	8/4/92	Hillstead	606	195
	AD	5,160,890	11/3/92	Roschmann	324	314
	AE	5,170,789	12/15/92	Narayan et al.	128	653.5
	AF	5,271,400	12/21/93	Dumoulin et al.	128	653.2
	AG	5,405,377	4/11/95	Cragg	623	1
	AH	5,443,496	8/22/95	Schwartz et al.	623	1
	AI	5,445,151	8/29/95	Darrow et al.	128	653.3
	AJ	5,447,156	9/5/95	Dumoulin et al.	128	653.2
	AK	5,699,801	12/23/97	Atalar et al.	128	653.2

FOREIGN PATENT DOCUMENTS

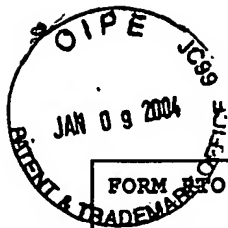
		Document No.	Date	Country	Class	Sub Class	Translation Yes No
MT	AL	WO 93/13825	22/07/93	WIPO			
MT	AM	WO 96/26689	06/09/96	WIPO			
MT	AN	WO 00/12147	09/03/00	WIPO			

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AO	
	AP	
	AQ	

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Examiner Initial		Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
MT	BA	5,738,632	4/14/98	Karasawa	600	410	
	BB	5,744,958	4/28/98	Werne	324	318	
	BC	5,755,781	5/28/98	Jayaraman	623	1	
	BD	5,843,120	12/1/98	Israel et al.	606	198	
	BE	5,916,264	6/29/99	Von Oepen et al.	623	1	
	BF	5,922,020	7/13/99	Klein et al.	623	1	
	BG	5,964,705	10/12/99	Truwit et al.	600	423	
	BH	6,168,621	1/2/01	Vrba	623	1.2	
	BI	6,171,240	1/9/01	Young et al.	600	410	
	BJ	6,231,516	5/15/01	Keilman et al.	600	485	
	BK	6,241,760	6/5/01	Jang	623	1.12	

## FOREIGN PATENT DOCUMENTS

		Document No.	Date	Country	Class	Sub Class	Translation Yes No	
MT	BL	WO 01/08600 A2	08/02/01	WIPO				
	BM	WO 02/30331 A1	18/04/02	WIPO				
	BN							

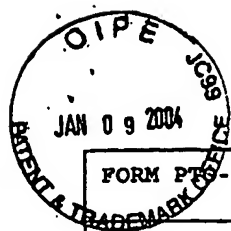
## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	BO	
	BP	
	BQ	

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FORM PTB-1449	Atty. Docket No.: S13.12-0136	Appl. No.: 10/670,433
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	September 24, 2003	

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Examiner Initial		Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
MT	CA	6,263,229	7/17/01	Atalar et al.	600	423	
	CB	6,280,385	8/28/01	Melzer et al.	600	423	
	CC	6,340,367	1/22/02	Stinson et al.	623	1.34	
	CD	6,409,761	6/25/02	Jang	623	6.12	
	CE	6,463,317	10/8/02	Kucharczyk et al.	600	411	
	CF	6,487,437	11/26/02	Viswanathan et al.	600	423	
	CG	10/359,970		Weber et al.			2/6/03
	CH	6,537,310	3/25/03	Palmaz et al.	623	1.13	
	CI	6,585,755	7/1/03	Jackson et al.	623	1.15	
	CJ	6,585,763	7/1/03	Keilman et al.	623	1.42	
	CK						

## FOREIGN PATENT DOCUMENTS

		Document No.	Date	Country	Class	Sub Class	Translation Yes No	
	CL							
	CM							
	CN							


## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	CO	
	CP	
	CQ	

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Substitute Form PTO-1449 (Modified)  <b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)  (37 CFR §1.98(b))	U.S. Department of Commerce Patent and Trademark Office  	Attorney's Docket No. 10527-626001	Application No. 10/670,433
		Applicant Jan Weber et al.	
		Filing Date September 24, 2003	Group Art Unit 3661

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
MT	AA	5,728,079	3/17/1998	Weber et al.			
↓	AB	5,919,126	7/6/1999	Armini			
↓	AC	6,361,759	3/26/2002	Frayne et al.			
↓	AD	2003/0055449	3/20/2003	Lee et al.			
↓	AE	2003/0100830	5/29/2003	Zhong et al.			

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
MT	AF	2004/030578	4/15/2004	PCT				
MT	AG	2004/032801	4/22/2004	PCT				

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
MT	AH	International Search Report for PCT/US2004/031421, dated January 28, 2005.

Examiner Signature  /Melanie Tyson/	Date Considered  09/19/2006
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	